

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
11 March 2004 (11.03.2004)

PCT

(10) International Publication Number  
**WO 2004/020381 A1**

(51) International Patent Classification<sup>7</sup>: C07C 45/50, Michael; 707 Burnley Road, Wilmington, DE 19803 (US).  
C07F 15/00, 17/02 TAM, Wilson; 3781 Brookcroft Lane, Boothwyn, PA 19061 (US).

(21) International Application Number:  
PCT/US2003/024213

(74) Agent: SEBREE, Chyrrea, J.; E. I. du Pont de Nemours and Company, Legal Patent Records Center, 4417 Lancaster Pike, Wilmington, DE 19805 (US).

(22) International Filing Date: 1 August 2003 (01.08.2003)

(81) Designated State (*national*): CN.

(25) Filing Language: English

(84) Designated States (*regional*): European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR).

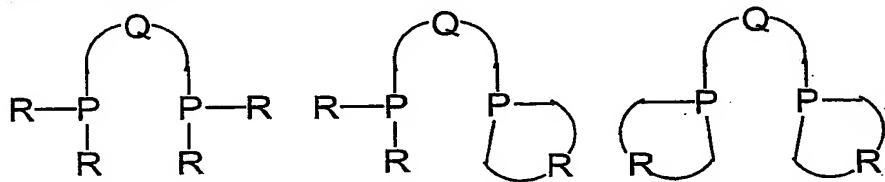
(26) Publication Language: English

TITLE**PROCESS FOR PREPARING ALDEHYDE COMPOUNDS**FIELD OF THE INVENTION

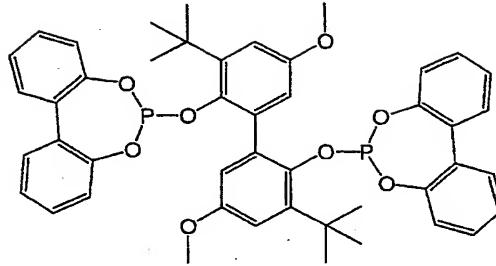
The invention relates to a process for making organic aldehyde compounds from an unsaturated compounds by hydroformylation and in the presence of a catalyst system comprising a Group VIII metal and a bidentate phosphorus ligand having two trivalent phosphorus atoms bound to salicylanilide groups.

BACKGROUND OF THE INVENTION

Ligands that have trivalent phosphorus atoms are characterized in that each trivalent phosphorus atom is bonded with three organic groups. Phosphorus amide compounds are characterized in that the phosphorus atom is linked to the organic group with at least one P-N bond and one or two P-O bonds (also known respectively as phosphorodiamidites and phosphoramidites). Bidentate phosphorus ligands are furthermore characterized in that two phosphorus atoms are present in the molecule and that one organic bridging group (Q) links both phosphorus atoms. The other organic groups bonded to a single phosphorus atom are often called termini groups (R).

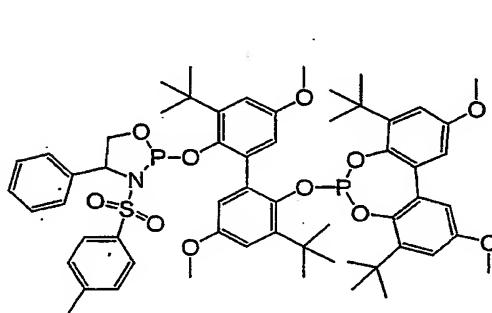


Numerous patents (US 4,769,498, etc.) and other literature describe olefin hydroformylation processes in which an active homogeneous hydroformylation catalyst system is formed by combining rhodium with an organic bidentate phosphite ligand containing two phosphorus atoms linked with an organic dihydroxyl bridging group. The termini groups in these phosphite ligands are most commonly substituted phenol or organic dihydroxyl groups similar to the bridging groups.



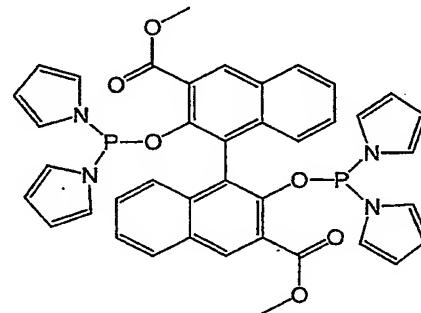
**Bidentate Phosphite Ligand**

Fewer examples of organic bidentate phosphoramidite ligands have  
5 been discovered for olefin hydroformylation with rhodium (WO 9616923,  
US 5,710,344, etc.). Phosphoramidite ligand examples includes those  
drawn below.



**Bidentate**

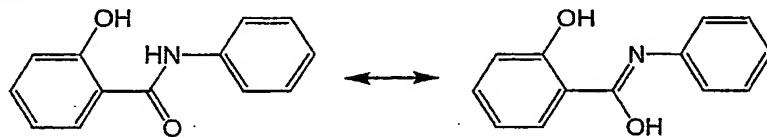
10 **Phosphoramidate-Phosphite**



**Bidentate**

**Phosphorodiamidite**

However, no prior art has been found that describes an  
homogeneous rhodium catalyst system for olefin hydroformylation using  
an organic bidentate phosphite or phosphoramidite ligand comprised of  
two phosphorus atoms linked by an organic dihydroxyl bridging group with  
15 salicylanilide termini groups. Salicylanilides are resonance hybrids of the  
following two structures.

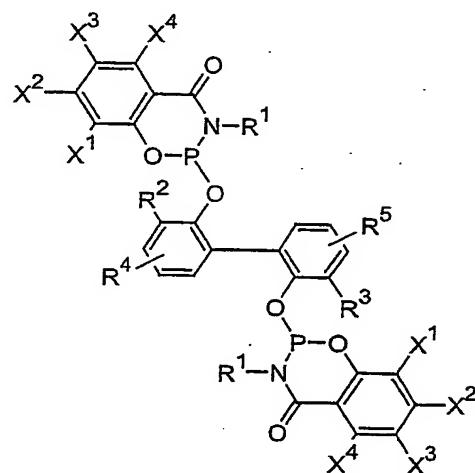
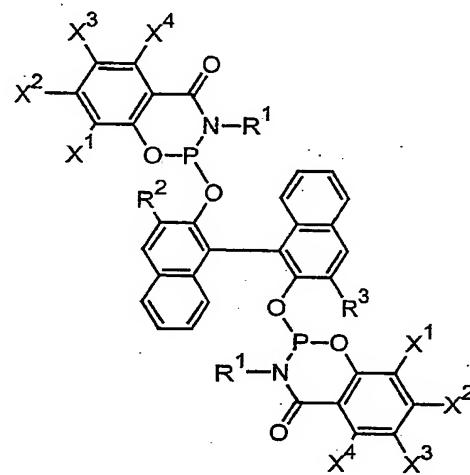
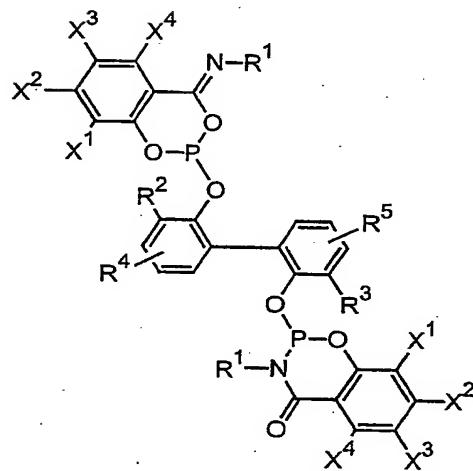
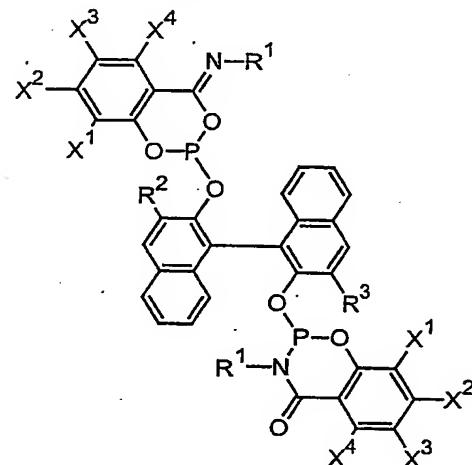


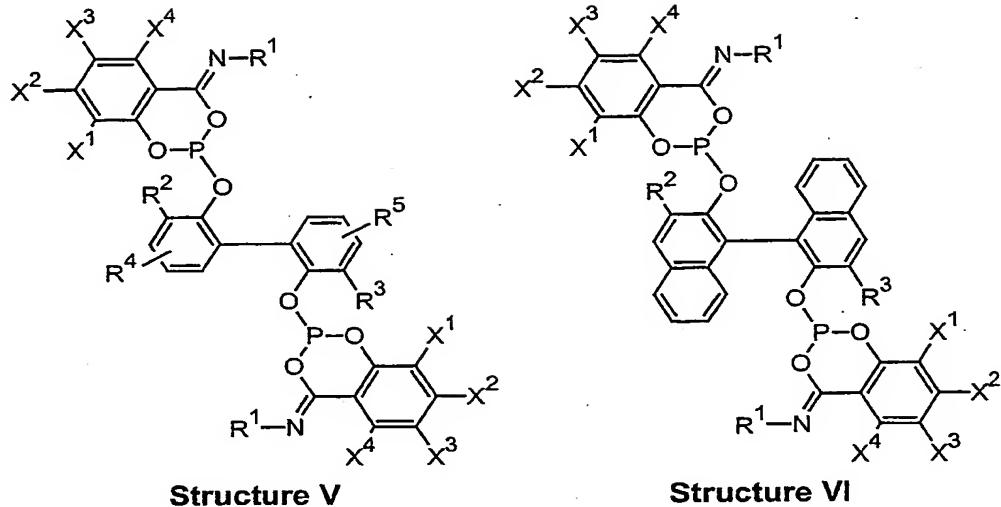
**SUMMARY OF THE INVENTION**

20 Disclosed herein is a hydroformylation process for preparing an  
organic aldehyde compound from an unsaturated organic compound, said

method comprising: contacting an unsaturated organic compound with carbon monoxide, hydrogen gas, and a catalyst system, said catalyst system comprising a Group VIII metal, and at least one or a combination of at least two bidentate organic ligands having two trivalent phosphorus atoms, said ligand selected from the group consisting of structure I, II, III, IV, V, and VI:

5

**Structure I****Structure II****Structure III****Structure IV**

**Structure V****Structure VI**

where X<sup>1</sup>-X<sup>4</sup> are C1-C6 alkyl, alkoxy, aryloxy, NR<sup>6</sup>R<sup>7</sup>, Cl, F, or

- 5 CF<sub>3</sub>; R<sup>1</sup> is independently selected from the group consisting of  
 substituted aryl, phenyl, or fused aromatic ring systems; R<sup>2</sup> and R<sup>3</sup> are  
 independently selected from the group consisting of hydrogen, alkyl, aryl,  
 triarylsilyl, trialkylsilyl, carboalkoxy, carboaryloxy, aryloxy, alkoxy,  
 alkylcarbonyl, arylcarbonyl, or nitrile; R<sup>4</sup> and R<sup>5</sup> are independently  
 10 selected from the group consisting of hydrogen, alkyl, alkoxy; R<sup>6</sup> and R<sup>7</sup>  
 are independently chosen from alkyl and aryl.

Also disclosed are the novel bidentate ligand compositions having  
 two trivalent phosphorus atoms represented by Structures I through VI  
 above.

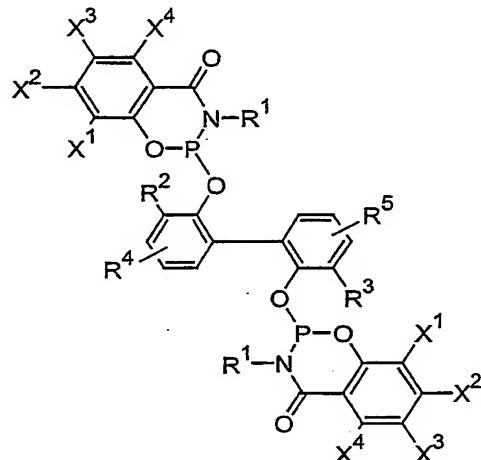
15

#### DETAILED DESCRIPTION OF THE INVENTION

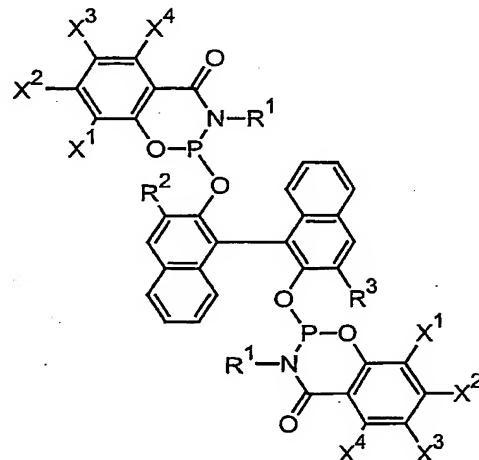
The present invention provides a hydroformylation process for  
 preparing organic aldehydes using high performing catalyst systems (i.e.,  
 selectivity and/or activity) and novel bidentate ligands. A hydroformylation  
 process is used to make the aldehyde from an ethylenically unsaturated  
 20 compound in the presence of catalyst system that comprises a Group VIII  
 metal or a compound comprising a Group VIII metal, a bidentate ligand  
 having two trivalent phosphorous atoms. When the process according the  
 present invention is used, high selectivities to aldehydes are achieved,  
 combined with a relatively high catalyst activity.

The advantages of this process are even more pronounced when starting from internally unsaturated organic compounds. In comparison to terminal olefins, preparing aldehydes starting from internally unsaturated compounds using previously known hydroformylation processes generally 5 results in lower selectivity to the aldehydes, more hydrogenation of the olefinic double bond and/or lower catalytic activity. An additional advantage of the process according to this invention is that the linearity [linear aldehydes/(linear + branched aldehydes)] is higher.

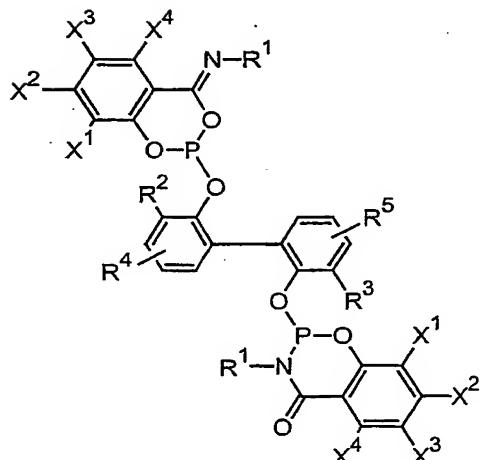
This object of the present invention is achieved by using at least 10 one ligand of the following formula in a Group VIII metal-catalyzed hydroformylation process:



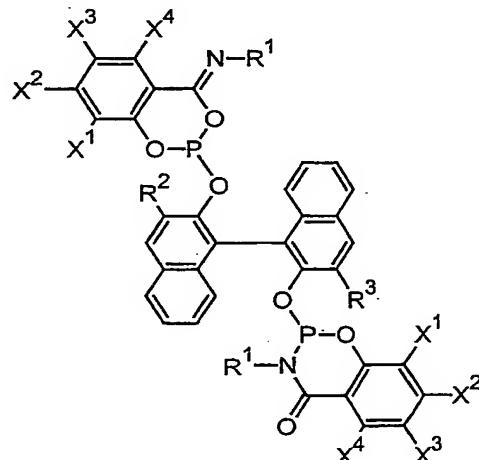
Structure I



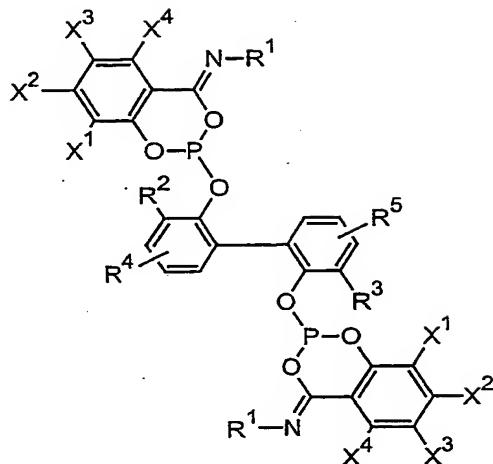
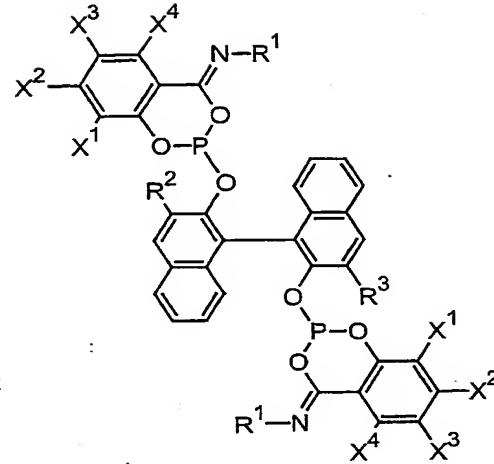
Structure II



Structure III



Structure IV

**Structure V****Structure VI**

5 where X<sup>1</sup>-X<sup>4</sup> are C1-C6 alkyl, alkoxy, aryloxy, NR<sup>6</sup>R<sup>7</sup>, Cl, F, or CF<sub>3</sub>;

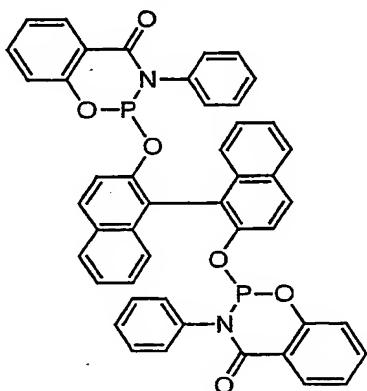
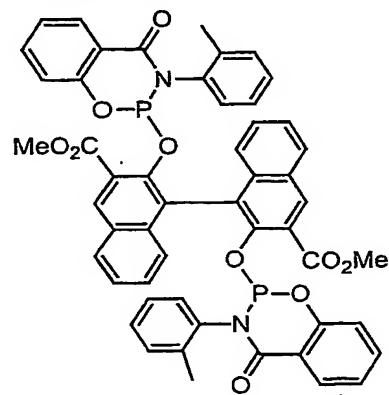
R<sup>1</sup> is selected from the group consisting of substituted aryl, phenyl, or fused aromatic ring systems;

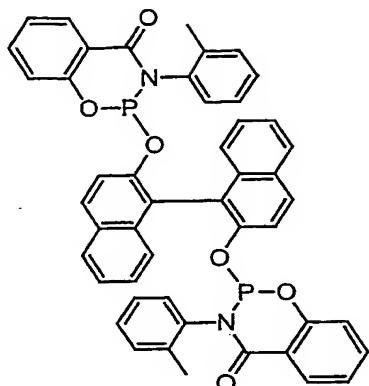
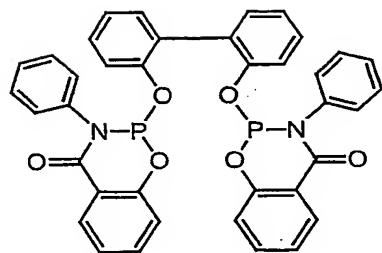
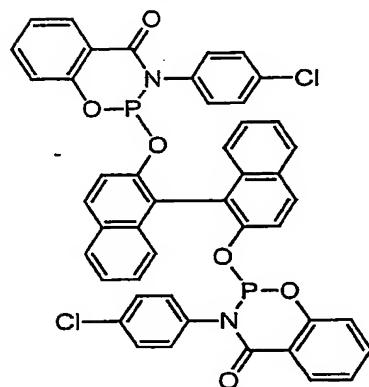
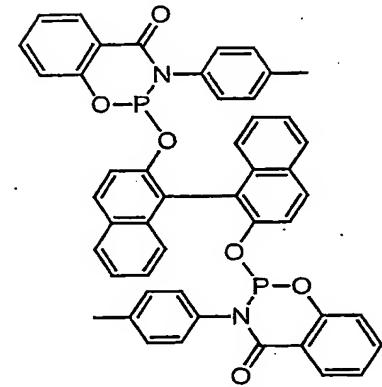
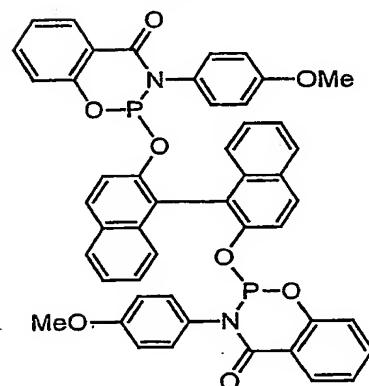
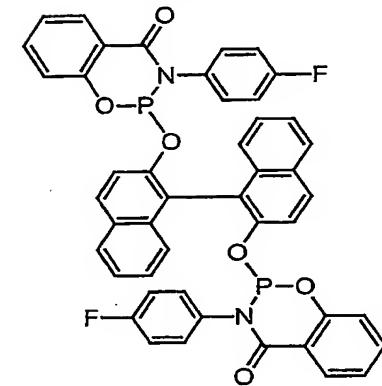
10 R<sup>2</sup> and R<sup>3</sup> each are independently selected from the group consisting of hydrogen, alkyl, aryl, triarylsilyl, trialkylsilyl, carboalkoxy, carboaryloxy, aryloxy, alkoxy, alkylcarbonyl, arylcarbonyl, or nitrile;

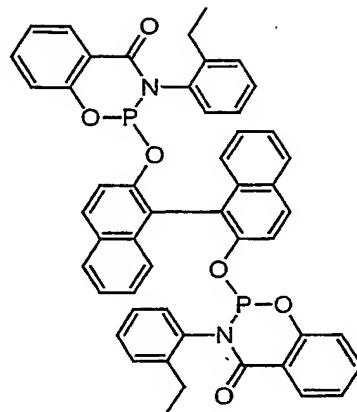
R<sup>4</sup> and R<sup>5</sup> are independently chosen from the group of hydrogen, alkyl, alkoxy;

15 R<sup>6</sup> and R<sup>7</sup> are independently chosen from alkyl and aryl.

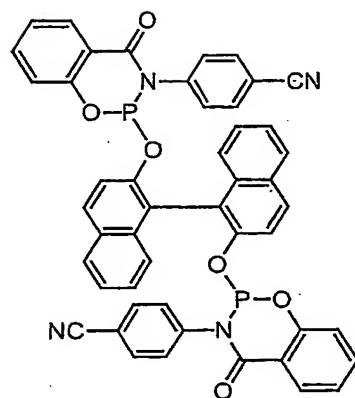
Examples of the ligands of the present invention are:

**Ligand 1****Ligand 2**

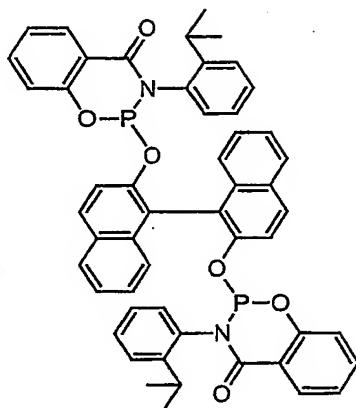
**Ligand 3****Ligand 4****Ligand 5****Ligand 6****Ligand 7****Ligand 8**



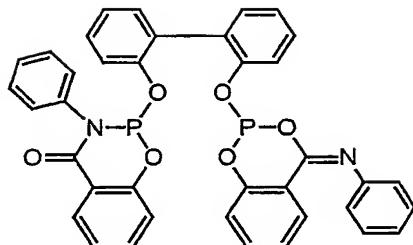
Ligand 9



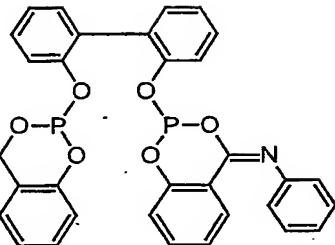
Ligand 10



Ligand 11



Ligand 12



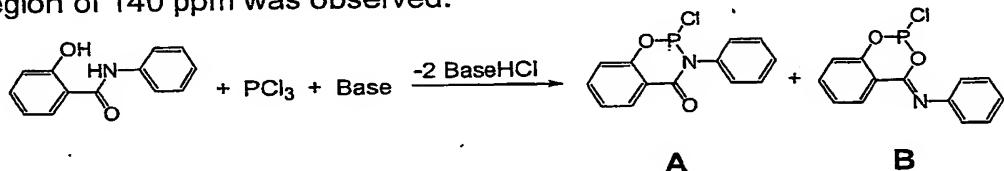
Ligand 13

Salicylanilides may be prepared by the amidation of phenyl salicylates with anilines or by treating salicyl chlorides (often prepared in situ with  $\text{SOCl}_2$ ,  $\text{PCl}_3$ , or  $\text{POCl}_3$  with anilines). Both chemistries can be extended to 1-hydroxy-2-naphthoic or 2-hydroxy-3-naphthoic acid derivatives to prepare the naphthyl analogs.

Salicylanilides can react with phosphorus trichloride ( $\text{PCl}_3$ ) to yield compounds where the salicylanilide acts as a dianionic chelate to the

phosphorus atom. Two possible product structures (A and B) are shown below that differ in the salicylanilide atoms linked to phosphorus (linkage isomers). For the examples provided below, a single  $^{31}\text{P}$  NMR peak in the region of 140 ppm was observed.

5



10

15

We have found that in the presence of a base, like triethylamine, the product A, B, or a combination of A and B, reacts with organic bridging groups (unsubstituted or substituted 2,2'-biphenol or 1,1'-bi-2-naphthols) to form a single or mixture of ligands that may be used in the process of the present invention. Dependent upon the bridging and salicylanilide groups, the 140 ppm  $^{31}\text{P}$  NMR peak for A or B is converted to a single or multiple peaks in the 109-121 ppm region. For the ligand product mixtures, the NMR analysis distinguishes phosphorus atoms in different chemical environments.

20

The catalyst system of the present invention can be prepared by combining a suitable Group VIII metal or a Group VIII metal compound with a phosphorus-containing ligand, optionally in a suitable solvent, in accordance with methods known for forming complexes.

25

30

Examples of suitable Group VIII metals are ruthenium, rhodium, and iridium. Examples of suitable Group VIII metal compounds are, for example,  $\text{Ru}_3(\text{CO})_{12}$ ,  $\text{Ru}(\text{NO}_3)_3$ ,  $\text{RuCl}_3(\text{Ph}_3\text{P})_3$ ,  $\text{Ru}(\text{acac})_3$ ,  $\text{Ir}_4(\text{CO})_{12}$ ,  $\text{IrSO}_4$ ,  $\text{RhCl}_3$ ,  $\text{Rh}(\text{NO}_3)_3$ ,  $\text{Rh}(\text{OAC})_3$ ,  $\text{Rh}_2\text{O}_3$ ,  $\text{Rh}(\text{acac})(\text{CO})_2$ ,  $[\text{Rh}(\text{OAc})(\text{COD})]_2$ ,  $\text{Rh}_4(\text{CO})_{12}$ ,  $\text{Rh}_6(\text{CO})_{16}$ ,  $\text{RhH}(\text{CO})(\text{Ph}_3\text{P})_3$ ,  $[\text{Rh}(\text{OAc})(\text{CO})_2]_2$ , and  $[\text{RhCl}(\text{COD})]_2$  (wherein "acac" is an acetylacetone group; "Ac" is an acetyl group; "COD" is 1,5-cyclo-octadiene; and "Ph" is a phenyl group). However, it should be noted that the Group VIII metal compounds are not necessarily limited to the above listed compounds. The source for the Group VIII metal is preferably rhodium. The source for suitable Group VIII metal compounds include, but are not limited to, hydrides, halides, organic acid salts, acetylacetones, inorganic acid salts, oxides, carbonyl compounds and amine compounds of Group VIII metals.

The unsaturated organic compound that is used in the present invention must have at least one "C=C" bond in the molecule, and preferably, 2 to 20 carbon atoms. Suitable ethylenically unsaturated organic compounds for use in the present invention include, but are not limited to, linear terminal olefinic hydrocarbons. Some examples of these are ethylene, propylene, 1-butene, 1-pentene, 1-hexene, 1-octene, 1-nonene, 1-decene, 1-tetradecene, 1-hexadecene, 1-octadecene, 1-eicosene and 1-dodecene; branched terminal olefinic hydrocarbons, for example isobutene and 2-methyl-1-butene; linear internal olefinic hydrocarbons, for example cis- and trans-2-butene, cis- and trans-2-hexene, cis- and trans-3-hexene, cis- and trans-2-octene and cis- and trans-3-octene; branched internal olefinic hydrocarbons, for example 2,3-dimethyl-2-butene, 2-methyl-2-butene and 2-methyl-2-pentene; terminal olefinic hydrocarbon-internal olefinic hydrocarbon mixtures, for example octenes prepared by dimerization of butenes, olefin oligomer isomer mixture of from dimer to tetramer of lower olefins including propylene, n-butene, isobutene or the like; and cycloaliphatic olefinic hydrocarbons for example cyclopentene, cyclohexene, 1-methylcyclohexene, cyclooctene and limonene. Butadiene, methallyl acetate, 3-pentenoic acid, and unsaturated organic compounds having 6 to 20 carbon atoms, such as alkyl 3-pentenoates, are also useful in the present invention.

Suitable olefinic compounds include those substituted with an unsaturated hydrocarbon group including compounds containing an aromatic substituent such as styrene,  $\alpha$ -methylstyrene and allylbenzene; and diene compounds such as butadiene, 1,5-hexadiene, 1,7-octadiene and norbornadiene. It has been found that with the process according to this invention it is possible to prepare 3-pentenal from butadiene in high yield.

The unsaturated organic compound can be substituted with one or more functional groups containing a heteroatom, such as oxygen, sulfur, nitrogen or phosphorus. These heteroatom substituted unsaturated organic compounds include, but are not limited to, vinyl methyl ether, methyl oleate, oleyl alcohol, allyl alcohol, methallyl alcohol, methallyl

acetate, methyl 2-pentenoate, methyl 3-pentenoate, methyl 4-pentenoate, 3-pentenoic acid, 4-pentenoic acid, 1,7-octadiene, 7-octen-1-al, acrylonitrile, acrylic acid esters, methylacrylate, methacrylic acid esters, and methylmethacrylate.

5 A special class of internally unsaturated organic compounds is 3-pentenoic acid and C1-C6 alkyl 3-pentenoate ester compounds. Terminal aldehyde compounds prepared by the disclosed process starting from these compounds can be used advantageously in the preparation of  $\gamma$ -caprolactam or adipic acid, which are precursors for respectively Nylon-6 and Nylon-6,6. Examples of C1-C6 alkyl 3-pentenoates are methyl-, ethyl-, propyl-, isopropyl-, tert-butyl-, pentyl and cyclohexyl 3-pentenoate. 10 Methyl and ethyl 3-pentenoate esters are preferred because they are more readily available.

The 3-pentenoic acid and C1-C6 alkyl 3-pentenoate ester 15 compounds may be present in mixtures containing, respectively, 2- and 4-pentenoic acid; and C1-C6 alkyl 2- and 4-pentenoate ester compounds. Since these compounds react in a similar fashion as the 3-isomer to the desired terminal aldehyde, a mixture of isomers can be directly used in the process according to the invention.

20 The hydroformylation process is carried out under conditions that will be dependent on the particular starting unsaturated organic compound. The temperature for the reaction can be from about room temperature to about 200°C, preferably from about 50°C to about 150°C. The pressure may vary from normal pressure to 20 MPa, preferably from 25 0.15 to 10 MPa, and more preferably from 0.2 to 5 MPa. The pressure is, as a rule, equal to the combined hydrogen and carbon monoxide partial pressure. Extra inert gases may, however, be present. The molar ratio of hydrogen:carbon monoxide is generally between 10:1 and 1:10, and preferably between 6:1 and 1:2.

30 In general, the concentration of Group VIII metal or Group VIII metal compound in the reaction medium is between 10 and 10,000 ppm, and more preferably between 100-1000 ppm, calculated as free metal.

The molar ratio of multidentate phosphorus ligand to Group VIII metal or Group VIII metal compound is from about 0.5 to 100, and preferably from 1 to 10 (mol ligand/mol metal).

The solvent may be a mixture of reactants from the hydroformylation itself, such as the starting unsaturated compound, the aldehyde product and/or by-products. Optionally, a solvent that is not a mixture of the reactants may be used. Solvents that are suitable for use in the present invention include saturated hydrocarbons (for example kerosene, mineral oil, or cyclohexane), ethers (for example diphenyl ether or tetrahydrofuran), ketones (for example acetone, cyclohexanone), nitrites (for example acetonitrile, adiponitrile or benzonitrile), aromatics (for example toluene, benzene or xylene), esters (for example methyl valerate, caprolactone), Texanol® (available from Union Carbide), or dimethylformamide.

Various embodiments of the present invention are exemplified in the following non-limiting examples.

#### EXAMPLES

##### Example 1: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 1 Derived from Salicylanilide, PCl<sub>3</sub> and Binaphthol

###### (a) Preparation of Ligand Mixture 1

In a nitrogen-filled drybox, salicylanilide (4.26 gm, 20 mmol), PCl<sub>3</sub> (2.74 gm, 20 mmol), and dry triethylamine (4.04 gm, 40 mmol) were combined in a flask containing dry tetrahydrofuran (50 mL). After stirring overnight, a <sup>31</sup>P NMR analysis showed a single peak at 140 ppm. Dry triethylamine (2.02 gm, 20 mmol) and 1,1'-bi-2-naphthol (2.86 gm, 10 mmol) were added to the tetrahydrofuran solution then the mixture was stirred overnight. Another <sup>31</sup>P NMR analysis indicated complete conversion to new compounds with several peaks between 117-118.5 ppm. The tetrahydrofuran was evaporated then dry diethyl ether (30 mL) was added to dissolve the desired product. After separating the ammonium salts by filtration, the ether filtrate was evaporated to yield a residue. Crystallization from CH<sub>2</sub>Cl<sub>2</sub>/hexanes gave a yellow solid. FAB (Fast Atom Bombardment) MS: m/e = 769 (M<sup>+</sup>, calc. C<sub>46</sub>H<sub>30</sub>O<sub>6</sub>N<sub>2</sub>P<sub>2</sub> 768.7).

(b) Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture from Example 1a:

A 25 mL glass-lined pressure vessel was charged with 5 mL of a solution containing 11.4 gm (100 mmol) methyl 3-pentenoate, 0.068 gm  
5 (0.2 mmol) of dicarbonyl(2,2,6,6-tetramethyl-3,5-heptanedionato)rhodium,  
0.78 g (1.0 mmol) of the mixture from Example 1a and 1.00 gm of  
tetradecane (internal GC standard) in 100 mL toluene. The pressure  
vessel was freed from air by purging first with nitrogen (twice) and then  
with 1:1 CO/H<sub>2</sub> (twice). The vessel was then pressurized to 75 psi CO and  
10 heated to 100°C with agitation for 2 hours. The heat was shut off and the  
pressure vessel was allowed to cool to room temperature. The excess  
gases were vented and the products were analyzed by GC. Methyl 3-  
pentenoate conversion (% methyl 3-pentenoate and methyl 4-pentenoate  
reacted): 80.3%; Linearity [100 x methyl 5-formylvalerate/(methyl 5-  
15 formylvalerate + branched formylvalerates)]: 84.8%; Selectivity (100 x  
methyl 5-formylvalerate/All Products]: 73.3%.

Example 2: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 2 Derived from 2'-Methyl-Salicylanilide, PCl<sub>3</sub>, and Dimethyl  
20 2,2'-Dihydroxy-1,1'-Binaphthalene-3,3'-Dicarboxylate

(a) Preparation of Ligand Mixture 2

Phenol was distilled from a boiling mixture of phenyl salicylate (42.8 gm, 0.20 mol), 2-toluidine (26.7 gm, 0.25 mol), and 1,2,4-trichlorobenzene (41 mL) as described in the literature procedure (Organic Syntheses, Coll.  
25 Vol. 3, 765). The cooled product mixture was transferred to an 250 mL Erlenmeyer flask and boiled with hexanes (75 mL) for 30 minutes. The solid product was isolated from the hot mixture by vacuum filtration. The solid was washed with more hexanes until the filtrate was colorless. Drying gave the pure 2'-methyl-salicylanilide (41 gm, 90%) as an off-white  
30 solid.

In a nitrogen-filled drybox, the 2'-methyl-salicylanilide (2.27 gm, 10 mmol), PCl<sub>3</sub> (1.37 gm, 10 mmol), and dry triethylamine (2.02 gm, 20 mmol) were combined in a flask containing dry tetrahydrofuran (50 mL). After stirring overnight, a <sup>31</sup>P NMR analysis showed a single peak at 141

ppm. Dry triethylamine (1.01 gm, 10 mmol) and dimethyl 2,2'-dihydroxy-1,1'-binaphthalene-3,3'-dicarboxylate (2.01 gm, 5 mmol) were added to the tetrahydrofuran solution then the mixture was stirred overnight. Another  $^{31}\text{P}$  NMR analysis indicated complete conversion to new compounds with several peaks between 116-119 ppm. The tetrahydrofuran was evaporated then dry diethyl ether (30 mL) was added to dissolve the desired product. After separating the ammonium salts by filtration, the ether filtrate was evaporated to yield a residue which was used for catalysis.

10

(b) Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture Derived from Example 2a

The experiment in Example 1b was repeated except that mixture derived from Example 1a was replaced with the mixture derived from Example 2a (45.6 mg/5 mL). GC analysis indicated 80.6% methyl 3-pentenoate conversion with a selectivity to methyl 5-formylvalerate of 71.2% and a linearity of 88.7%.

20 Example 3: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 3 Derived from 2'-Methyl-Salicylanilide,  $\text{PCl}_3$ , and Binaphthol

(a) Preparation of Ligand Mixture 3

2-Methyl-salicylanilide was prepared by condensing phenyl salicylate with 2-toluidine then purified as described in Example 2a. The ligand mixture was then prepared from 2-methyl-salicylanilide and 1,1'-bi-2-naphthol using the procedure described in Example 2a.  $^{31}\text{P}$  NMR (121.77 MHz): several peaks between 109-120 ppm.

30 (b) Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture Prepared in Example 3a

The experiment in Example 1b was repeated except that mixture prepared in Example 1a was replaced with the mixture prepared in Example 3a (45.6 mg/5 mL). The GC result is given in Table 1.

Example 4: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 4 Derived from Salicylanilide, PCl<sub>3</sub> and Biphenol

(a) Preparation of Ligand Mixture 4

5       The biphenol analog of the ligand mixture prepared in Example 1a was prepared from salicylanilide and 2,2'-biphenol as described in Example 1a. <sup>31</sup>P NMR (121.77 MHz): 112 ppm along with small peaks at 138 ppm and 113 ppm.

10      (b) Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture Prepared in Example 4a

The experiment in Example 1b was repeated except that mixture prepared from Example 1a was replaced with the mixture prepared in Example 4a (33.4 mg/5 mL). The GC result is given in Table 1.

15      Example 5: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 5 Derived from 4'-Chloro-Salicylanilide, PCl<sub>3</sub> and Binaphthol

(a) Preparation of Ligand Mixture 5

20       The 4'-chloro-salicylanilide was prepared by condensing phenyl salicylate with 4-chloroaniline then purified as described in Example 2a.

Under a dry nitrogen atmosphere, the 4'-chloro-salicylanilide (2.79 gm, 11 mmol), PCl<sub>3</sub> (4.6 gm, 34 mmol), and dry triethylamine (4.55 gm, 45 mmol) were combined in a flask containing dry toluene (40 mL) then 25 refluxed for 4 hours. In the drybox, the ammonium salts were separated by filtration then washed with dry toluene (2 x 10 mL). The combined filtrates were evaporated. A <sup>31</sup>P NMR analysis showed a single peak at 139.4 ppm.

1,1'-Bi-2-naphthol (1.43 gm, 5 mmol) and the 4'-chloro 30 salicylanilide/PCl<sub>3</sub> reaction product (2.95 gm, 10 mmol) were dissolved in dry diethyl ether (50 mL) then treated dropwise with dry triethylamine (1.01 gm, 10 mmol). After stirring overnight, another

<sup>31</sup>P NMR analysis indicated complete conversion to a new compound with a 117.1 ppm chemical shift. After separating the ammonium salts by filtration, the ether filtrate was evaporated to yield an orange powder.

5        (b) Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture 5 Prepared from Example 5a

The experiment in Example 1b was repeated except that mixture prepared in Example 1a was replaced with a mixture prepared from Example 5a (41.9 mg/5 mL). The GC result is given in Table 1.

10      Example 6 :Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture 6 Derived from 4'-Methyl-salicylanilide, PCl<sub>3</sub> and Binaphthol

(a) Preparation of Ligand Mixture 6

15      The 4'-methyl-salicylanilide was prepared by condensing phenyl salicylate with 4-toluidine then purified as described in Example 2a. A ligand mixture was then prepared from 4'-methyl-salicylanilide and binaphthol using the procedure described in Example 5a. <sup>31</sup>P NMR (121.77 MHz): several peaks between 117.1-117.8 ppm.

20      (b) Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture Prepared from Example 6a

25      The experiment in Example 1b was repeated except that mixture prepared in Example 1a was replaced with a mixture prepared from Example 6a (39.8 mg/5 mL). The GC result is given in Table 1.

Example 7: Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture 7 Derived from 4'-Methoxy-Salicylanilide, PCl<sub>3</sub>, Binaphthol

30      (a) Preparation of the Ligand Mixture 7

The 4'-methoxy-salicylanilide was prepared by condensing phenyl salicylate with 4-aminoanisole then purified as described in Example 2a. The mixture of ligands was then prepared from 4'-methoxy-salicylanilide

and 1,1'-bi-2-naphthol using the procedure described in Example 5a.  $^{31}\text{P}$  NMR (121.77 MHz): several peaks between 116-118 ppm.

5       **b) Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture Prepared from Example 7a**

The experiment in Example 1b was repeated except that mixture prepared from Example 1a was replaced with the mixture prepared from Example 7a (41.4 mg/5 mL). The GC result is given in Table 1.

10      **Example 8: Methyl 3-Pentenoate Hydroformylation with Rhodium and Mixture 8 Derived from 4'-Fluoro-Salicylanilide,  $\text{PCl}_3$  and Binaphthol**

(a) Preparation of Ligand Mixture 8

The 4'-fluoro-salicylanilide was prepared by condensing phenyl salicylate with 4-fluoroaniline then purified as described in Example 2a.

15      The ligand mixture was then prepared from 4'-fluoro-salicylanilide and 1,1'-bi-2-naphthol using the procedure described in Example 5a.  $^{31}\text{P}$  NMR (121.77 MHz): several peaks between 117.1-117.7 ppm.

20      (b) Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture Prepared from Example 9a

The experiment in Example 1b was repeated except that the mixture prepared from Example 1a was replaced with the mixture prepared in Example 8a (40.2 mg/5 mL). The GC result is given in Table 1.

25      **Example 9: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 9 Derived from 2'-Ethyl-Salicylanilide,  $\text{PCl}_3$  and Binaphthol**

(a) Preparation of Ligand Mixture 9

The 2'-ethyl-salicylanilide was prepared by condensing phenyl salicylate with 2-ethylaniline then purified as described in Example 2a. The ligand mixture was then prepared from 2'-ethyl-salicylanilide and 1,1'-bi-2-naphthol using the procedure described in Example 2a.  $^{31}\text{P}$  NMR (121.77MHz): several peaks between 117.1-118.8 ppm.

(b) Methyl 3-Pentenoate Hydroformylation with Rhodium and Mixture Prepared from Example 9a

The experiment in Example 1b was repeated except that mixture prepared in Example 1b was replaced with the mixture prepared from 5 Example 9a (41.2 mg/5 mL). The GC result is given in Table 1.

Example 10: Methyl 3-Pentenoate Hydroformylation with Rhodium and Ligand Mixture 10 Derived from 4'-Cyano-Salicylanilide, PCl<sub>3</sub> and Binaphthol

10 (a) Preparation of Ligand Mixture 10

The 4'-cyano-salicylanilide was prepared by condensing phenyl salicylate with 4-amino-benzenonitrile then purified as described in Example 2a. The ligand mixture was then prepared from 4'-cyano-salicylanilide and 1,1'-bi-2-naphthol using the procedure described in Example 5a. <sup>31</sup>P NMR (121.77 MHz): several peaks between 116.4-117.7 ppm.

15 (b) Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture Prepared in Example 10a

The experiment in Example 1b was repeated except that the mixture prepared in Example 1a was replaced with an equivalent amount 20 of the mixture prepared in Example 10a (40.9 mg/5 mL). The GC result is given in Table 1.

25 Example 11: Methyl 3-Pentenoate Hydroformylation with Rhodium and the Ligand Mixture 11 Derived from 2'-Isopropyl-Salicylanilide, PCl<sub>3</sub>, and Binaphthol

(a) Preparation of the Ligand Mixture 11

The 2'-isopropyl-salicylanilide was prepared by condensing phenyl salicylate with 2-isopropylaniline then purified as described in Example 2a. 30 The ligand mixture was then prepared from 2'-isopropyl-salicylanilide and binaphthol using the procedure described in Example 2a. <sup>31</sup>P NMR (121.77 MHz): several peaks between 117.1-120.9 ppm.

(b) Methyl 3-Pentenoate Hydroformylation With Rhodium and LigandMixture Prepared in Example 11a

The experiment in Example 1b was repeated except that mixture prepared in Example 1a was replaced with the mixture derived in Example 5 11a (42.6 mg/5 mL). The GC result is given in Table 1.

Table 1: Methyl 3-Pentenoate Hydroformylation Results with Catalysts Derived from Rhodium and Ligand Mixtures Prepared from Examples 3a-11a

5

Example	Ligand	Methyl 3-Pentenoate Conversion (%)	C6 Aldehyde Linearity (%)	C6 Linear Aldehyde Selectivity (%)
3	3	33.0	91.0	68.5
4	4	75.9	77.5	67.2
5	5	29.8	83.4	65.5
6	6	58.8	85.4	64.4
7	7	18.8	79.0	59.2
8	8	62.3	80.2	58.0
9	9	70.4	80.8	57.3
10	10	16.5	85.4	56.2
11	11	85.4	67.9	50.7

Examples 12-13: 1,3-Butadiene Hydroformylation with Rhodium and Ligand Mixtures Prepared in Examples 5a and 6a

The experiment in Example 1b was repeated except that the methyl 3-pentenoate was replaced by an equivalent amount of 1,3-butadiene, the solvent was tetrahydrofuran, the total CO/H<sub>2</sub> pressure was 1000 psi (6.8 Mpa), the temperature was 90°C, and ligands prepared in Examples 5a and 6a, respectively, were utilized. Analysis of the products after 2 hours reaction time showed a mixture of unreacted 1,3-butadiene, pentanal (reduction product), pentenals (primarily trans-3-pentenal) and C6 dialdehydes (primarily 1,4-butanedial). The results are summarized in Table 2 (moles formed per 100 moles butadiene charged).

20 Table 2: 1,3-Butadiene Hydroformylation with Rhodium and Ligand Mixtures from Examples 5a or 6b.

Examples	Ligand Number	1,3-Butadiene Unreacted (mol)	Pentanal (mol)	3-Pentenals (mol)	C6 Dialdehydes (mol)
12	5	14.4	1.1	57.7	1.7
13	6	46.4	0.0	16.6	0.0

Examples 14-15: Methallyl Acetate (2-Methyl-2-Propene-1-Acetate)Hydroformylation with Rhodium and Ligand Mixture Prepared in Example 11a

- 5        The experiment in Example 11b was repeated except that the methyl 3-pentenoate was replaced by an equivalent amount of methallyl acetate, the temperature was 90°C, the CO/H<sub>2</sub> pressure at temperature (90°C) was varied and the reaction was allowed to run for 4 hours.
- 10      Analysis of the product by GC showed only the terminal aldehyde, 4-acetoxy-3-methylbutanal (4Ac3MB), and the reduced product, isobutyl acetate (i-BuOAc). The results are summarized in Table 3.

Table 3. Hydroformylation of Methallyl Acetate with Rhodium and Ligand Mixture Prepared in Example 11a.

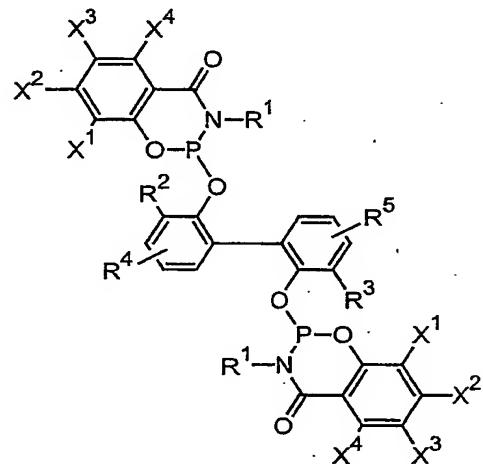
15

Example	CO/H <sub>2</sub> Pressure (psi)	Methallyl Acetate Conv. (%)	4Ac3MB Select. (%)	i-BuAc Select. (%)	Aldehyde Linearity (%)
14	75	72.1	69.7	7.4	100
15	150	74.2	72.2	8.0	100

Claims:

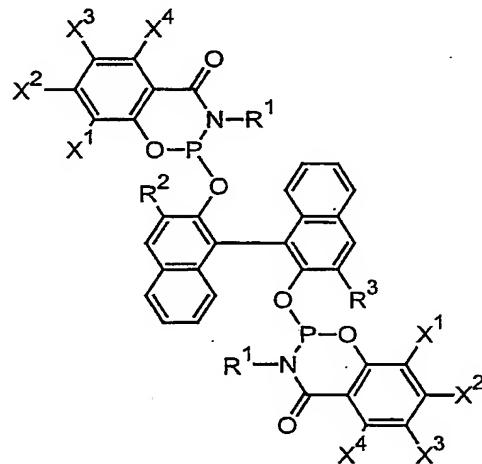
What is claimed is:

1. A hydroformylation process for preparing an organic aldehyde compound from an unsaturated organic compound, said method comprising: contacting an unsaturated organic compound with carbon monoxide, hydrogen gas, and a catalyst system, said catalyst system comprising a Group VIII metal, and at least one or a combination of at least two bidentate organic ligands having two trivalent phosphorus atoms, said ligand selected from the group consisting of structure I, II, III, IV, V, and VI:

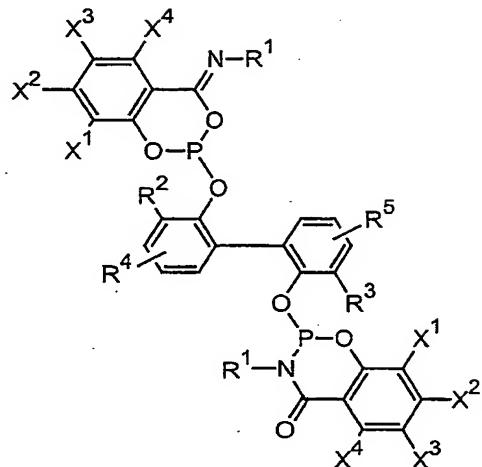


15

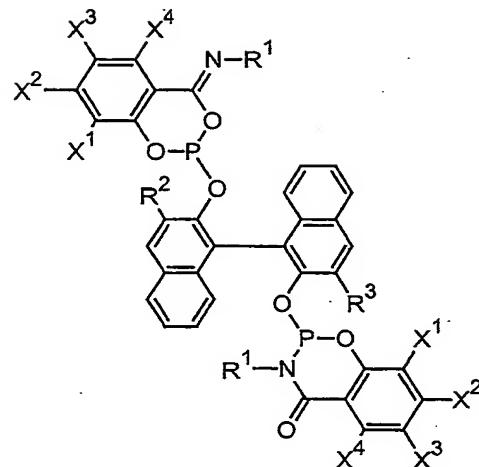
Structure I



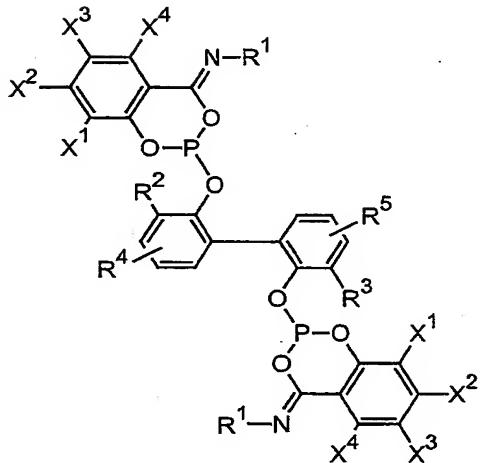
Structure II



Structure III

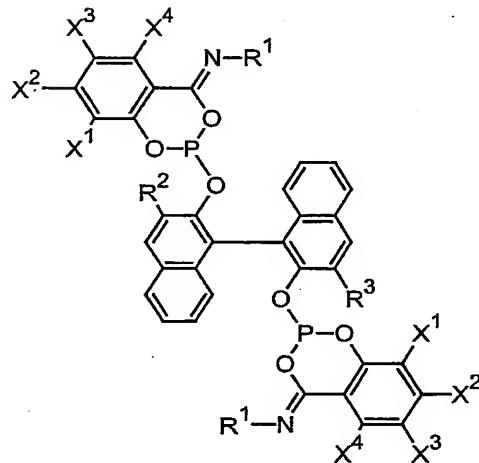


Structure IV



5

Structure V



Structure VI

where X<sup>1</sup>-X<sup>4</sup> are C1-C6 alkyl, alkoxy, aryloxy, NR<sup>6</sup>R<sup>7</sup>, Cl, F, or

10 CF<sub>3</sub>;

R<sup>1</sup> is independently selected from the group consisting of substituted aryl, phenyl, or fused aromatic ring systems;

R<sup>2</sup> and R<sup>3</sup> are independently selected from the group consisting of hydrogen, alkyl, aryl, triarylsilyl, trialkylsilyl, carboalkoxy, 15 carboaryloxy, aryloxy, alkoxy, alkylcarbonyl, arylcarbonyl, or nitrile;

R<sup>4</sup> and R<sup>5</sup> are independently selected from the group consisting of hydrogen, alkyl, alkoxy;

R<sup>6</sup> and R<sup>7</sup> are independently chosen from alkyl and aryl.

5           2. A process according to claim 1 wherein the Group VIII metal is ruthenium, rhodium, or iridium.

10           3. A process according to claim 2 wherein Group VIII metal is provided in the form of Ru<sub>3</sub>(CO)<sub>12</sub>, Ru(NO<sub>3</sub>)<sub>3</sub>, RuCl<sub>3</sub>(Ph<sub>3</sub>P)<sub>3</sub>, Ru(acac)<sub>3</sub>, Ir<sub>4</sub>(CO)<sub>12</sub>, IrSO<sub>4</sub>, RhCl<sub>3</sub>, Rh(NO<sub>3</sub>)<sub>3</sub>, Rh(OAC)<sub>3</sub>, Rh<sub>2</sub>O<sub>3</sub>, Rh(acac)(CO)<sub>2</sub>, [Rh(OAc)(COD)]<sub>2</sub>, Rh<sub>4</sub>(CO)<sub>12</sub>, Rh<sub>6</sub>(CO)<sub>16</sub>, RhH(CO)(Ph<sub>3</sub>P)<sub>3</sub>, [Rh(OAc)(CO)<sub>2</sub>]<sub>2</sub>, or [RhCl(COD)]<sub>2</sub>.

15           4. A process according to claim 2 wherein the catalyst system comprises rhodium.

20           5. A process according to claim 1 wherein the unsaturated compound is selected from the group consisting of ethylene, propylene, 1-butene, 1-pentene, 1-hexene, 1-octene, 1-nonene, 1-decene, 1-tetradecene, 1-hexadecene, 1-octadecene, 1-eicosene and 1-dodecene, isobutene, 2-methyl-1-butene, 2-butene, 2-hexene, 3-hexene, 2-octene, 3-octene; 2,3-dimethyl-2-butene, 2-methyl-2-butene, 2-methyl-2-pentene, octene, propylene, n-butene, isobutene, cyclopentene, cyclohexene, 1-methylcyclohexene, cyclooctene, limonene, butadiene, methallyl acetate, 3-pentenoic acid, and alkyl 3-pentenoates.

25           6. A process according to claim 1 wherein the unsaturated organic compound is selected from the group consisting of styrene,  $\alpha$ -methylstyrene, allylbenzene, hexadiene, octadiene and norbornadiene.

30           7. A process according to claim 1 wherein the unsaturated organic compound is selected from the group consisting of

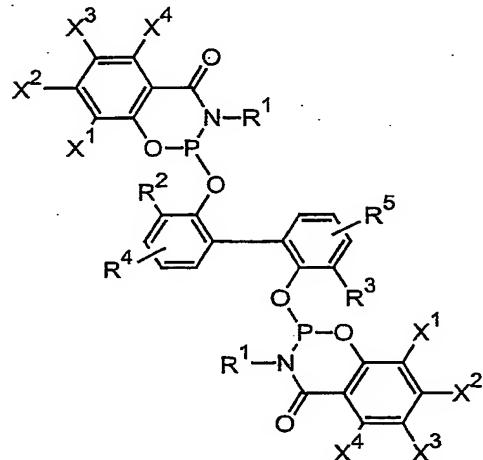
vinyl methyl ether, methyl oleate, oleyl alcohol, allyl alcohol, methallyl alcohol, methallyl acetate, methyl 2-pentenoate, methyl 3-pentenoate, methyl 4-pentenoate, 3-pentenoic acid, 4-pentenoic acid, 1,7-octadiene, 7-octen-1-al, acrylonitrile, acrylic acid esters, methylacrylate, methacrylic acid esters, and methylmethacrylate.

10

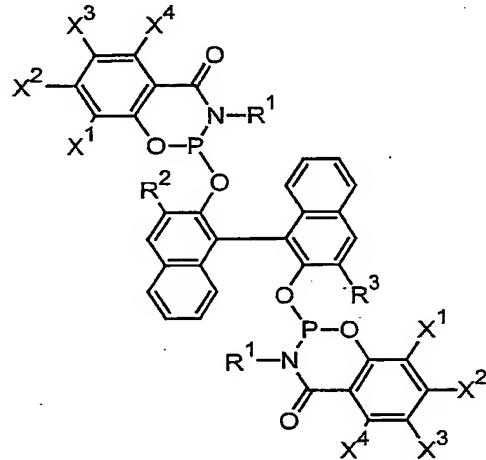
8. A process according to Claim 1 wherein the unsaturated compound is 3-pentenoic acid or a C1-C6 alkyl 3-pentenoate ester compound.

15

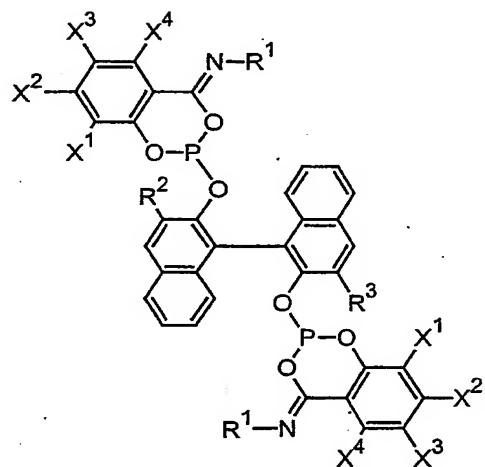
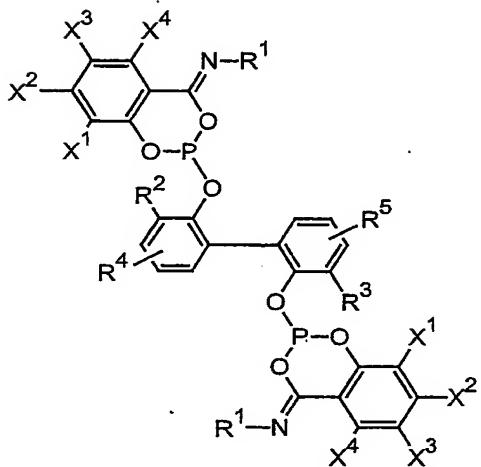
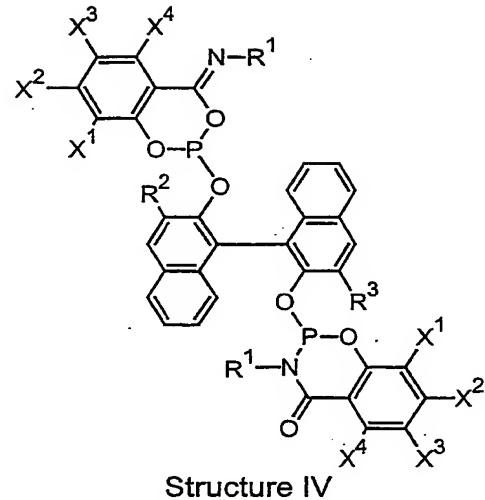
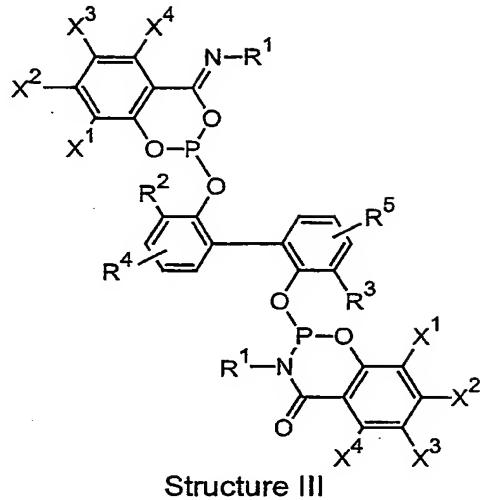
9. A bidentate ligand composition having two trivalent phosphorus atoms represented by structure I, II, III, IV, V, or VI:



Structure I



Structure II



5

where  $X^1-X^4$  are C1-C6 alkyl, alkoxy, aryloxy,  $NR^6R^7$ , Cl, F, or  $CF_3$ ;

10       $R^1$  is independently selected from the group consisting of substituted aryl, phenyl, or fused aromatic ring systems;

$R^2$  and  $R^3$  are independently selected from the group consisting of hydrogen, alkyl, aryl, triarylsilyl, trialkylsilyl, carboalkoxy, carboaryloxy, aryloxy, alkoxy, alkylcarbonyl, arylcarbonyl, or nitrile;

15       $R^4$  and  $R^5$  are independently selected from the group consisting of hydrogen, alkyl, alkoxy;

$R^6$  and  $R^7$  are independently chosen from alkyl and aryl.

10. A composition according to claim 9 wherein R<sup>2</sup> and R<sup>3</sup> each are carboalkoxy groups in which R is C1-C8 alkyl.

5 11. A composition of matter comprising a combination of at least two ligands according to claim 9.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US03/24213

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : C07C 45/50; C07F 15/00, 17/02  
US CL : 568/454; 556/136

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
U.S. : 568/454; 556/136

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Continuation Sheet

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,698,745 A (BURKE et al) 16 December 1997 (16.12.1997), entire document.	1-11
A	US 5,004,823 A (DEVON et al) 2 April 1991 (02.04.1991), entire document.	1-11
A	US 6,369,257 B1 (BUNEL et al) 9 April 2002 (09.04.2002), entire document.	1-11
A	US 5,710,344 A (BREIKSS et al) 20 January 1998 (20.01.1998), entire document.	1-11

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

17 October 2003 (17.10.2003)

Date of mailing of the international search report

03 NOV 2003

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
Facsimile No. (703)305-3230

Authorized officer

Sikaff Witherspoon

Telephone No. 703-308-1235

**INTERNATIONAL SEARCH REPORT**

PCT/US03/24213

**Continuation of B. FIELDS SEARCHED Item 3:**  
**REGISTRY, CAPLUS, WEST**  
search terms: hydroformylation, olefin, hydrogen, carbon monoxide, bidentate, ligand, phosphine, phosphite, rhodium, ruthenium, platinum

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**